

IFW 3727

INFORMATION DISCLOSURE STATEMENT

Applicant : Tsutsumi et al.
 App. No : 10/506,398
 Filed : May 11, 2005
 For : SYNTHETIC RESIN CAP, CLOSING
 DEVICE, AND CONTAINER-FILLED
 BEVERAGE
 Examiner : Ngo, Lien M.
 Art Unit : 3727

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 16, 2006

(Date)



Eric M. Nelson, Reg. No. 43,829

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 3 references to be considered by the Examiner. Also enclosed are 1 foreign patent reference and 1 non-patent literature as listed on the Information Disclosure Statement. Please be informed that we already filed JP 2001-114310 A, on December 6, 2004 that is cited in the Chinese Office Action.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and no fee is required in accordance with 37 C.F.R. §§ 1.97(b)(3). Further, even if a first Office Action on the merits was mailed before the mailing date of this Statement, no fee is required as set forth below in 37 C.F.R. §§ 1.97(c) and 1.97(e)(1).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

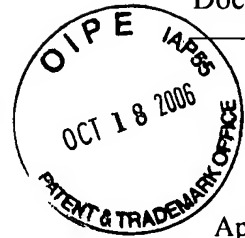


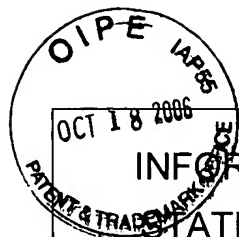
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Dated:

Oct. 16, 2006





INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	10/506,398
Filing Date	May 11, 2005
First Named Inventor	Hiroaki Tsutsumi
Art Unit	3727
Examiner	Ngo, Lien M.
Attorney Docket No.	SHIGA5.001APC

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	US2001/0030164 A1	10-18-2001	Romeo Corvaglia	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
	1	CN 1231641A	10-13-1999			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	1	The Text of the First Office Action by Chinese Patent Office on July 21, 2006	X

3017241/101306

Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.